



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

C. J. WHEELER

Appl. No.: 10/748,853

Filed: December 30, 2003

For: **Complex Cationic Lipids Having  
Quaternary Nitrogens Therein**

Confirmation No. 6433

Art Unit: 1614

Examiner: Royds, Leslie A.

Atty. Docket: 1530.0260002/JMC/OAL

**First Supplemental Information Disclosure Statement  
under 37 C.F.R. § 1.97(c)**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Listed on accompanying form, PTO/SB/08A and PTO/SB/08B, are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. This First Supplemental Information Disclosure Statement is a continuation of Applicants' Information Disclosure Statement filed on March 29, 2004, in connection with the above-captioned application.

Copies of documents **FP1-FP6** and **NPL1-NPL3** are submitted. However, in accordance with 37 C.F.R. § 1.98(a)(2), a copy of U.S. patent cited on the attached Form PTO/SB/08A, documents **US1**, is not submitted.

In accordance with 37 C.F.R. § 1.98(a)(3), Applicants' undersigned representative submits the following discussion of the relevance of the non-English language documents, **FP3-FP5**, cited on Form PTO/SB/08A:

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02 FC:1006

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Document **FP3**, JP 5-25106 A, is in the Japanese language. An English language abstract of document FP3 is cited as document **NPL1** and submitted herewith.

Document **FP4**, JP 5-508626 A, is in the Japanese language. An English language abstract of document FP4 is cited as document **NPL2** and submitted herewith.

Document **FP5**, JP 11-507031 A, is in the Japanese language. An English language abstract of document FP5 is cited as document **NPL3** and submitted herewith.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicant has listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application. The required fee is provided

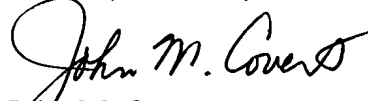
through online credit card payment authorization in the amount of \$180.00 in payment of the fee under 37 C.F.R. § 1.17(p).

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



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